

House of Representatives

General Assembly

File No. 432

January Session, 2021

Substitute House Bill No. 6484

House of Representatives, April 14, 2021

The Committee on Transportation reported through REP. LEMAR of the 96th Dist., Chairperson of the Committee on the part of the House, that the substitute bill ought to pass.

AN ACT CONCERNING RECOMMENDATIONS BY THE DEPARTMENT OF TRANSPORTATION.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Subsection (h) of section 13a-73 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from*
- 3 passage):
- 4 (h) All sales or exchanges of surplus property by the Department of
- 5 Transportation and matters dealing with the initial acquisition of any
- 6 existing mass transit system or the purchase or sale of real properties
- 7 acquired in connection with any state highway system or mass transit
- 8 system shall be subject to review and approval of the State Properties
- 9 Review Board except that those acquisitions and administrative
- 10 settlements relating to such properties which involve sums not in excess
- of [five] <u>ten</u> thousand dollars shall be reported to the board by the
- 12 Commissioner of Transportation but shall not be subject to such review
- 13 and approval. The Secretary of the Office of Policy and Management
- shall be informed for inventory purposes of any transfer effectuated in
- 15 connection with this section. The State Properties Review Board shall
- 16 not grant such approval if the Department of Transportation has failed
- 17 to comply with any applicable statutes in connection with the proposed

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- Sec. 2. Section 13a-151 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
- 21 (a) The [crossing or attempted crossing of] owner of a motor vehicle 22 that crosses or attempts to cross any bridge posted with a maximum 23 weight limit notice, as required by section 13a-121, [by a vehicle having] 24 when such vehicle has a gross weight in excess of the [stated maximum 25 safe load shall constitute reckless driving by the operator of such vehicle 26 and the owner of such vehicle] posted weight limit shall be liable to the 27 authority bound to maintain such bridge for any damage to the 28 structure resulting from the passage or attempted passage of such 29 vehicle.
 - (b) The authority having control of any bridge shall be responsible for any damage sustained by reason of the passage of any vehicle having a gross weight not in excess of the maximum weight prescribed in the notice provided for in section 13a-121, provided such vehicle shall not be operated at a speed in excess of the posted speed limit for such bridge while crossing such bridge.
- Sec. 3. Section 14-298a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):
 - (a) No person shall operate or move a motor vehicle over, on, through, or under any bridge or structure on any highway (1) if the height of such vehicle or the load exceeds the height of the posted clearance or load, as shown by an official traffic control device, as defined in section 14-297, or (2) if the weight of such vehicle or such vehicle and the load exceeds the weight of the posted weight limit.
- 44 (b) Any person violating any provision of this section shall, [have committed an infraction] (1) for a first offense, be fined not more than one thousand five hundred dollars, and (2) for a subsequent offense, be guilty of a class A misdemeanor.
- Sec. 4. Subsection (a) of section 13b-20e of the general statutes is

repealed and the following is substituted in lieu thereof (*Effective from passage*):

- 51 (a) Any consultant who desires to provide consulting services to the 52 department in any calendar year shall be required to submit, not later 53 than the fifteenth day of [November] October immediately preceding 54 such calendar year, information concerning their qualifications as may 55 be required by the department. Such consultants shall provide the 56 department with additional or updated information upon request by the 57 department. The commissioner shall by January first, annually, analyze 58 the information submitted and determine those consultants qualified to 59 perform services in areas of expertise established by the department. 60 The commissioner shall publish annually, in accordance with the 61 provisions of section 13b-20g, at any time between September first to 62 October first, a notice that any person, firm or corporation which desires 63 to be listed with the department as a consultant shall submit such 64 information as required pursuant to this subsection to the department. 65 Such notice shall also list the areas of expertise likely to be needed by 66 the department during the next calendar year.
- Sec. 5. Section 13b-20f of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - The performance of all consultants who have active agreements with the department shall be evaluated by the supervising unit within the bureau utilizing the consultant services, at [six-month intervals] <u>least once a year</u> and upon completion of the consultant services. Each such evaluation shall be kept on file in the supervising unit and a copy filed with the permanent selection panel.
- Sec. 6. Subsection (a) of section 13b-34 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) (1) The commissioner shall have power, in order to aid or promote the operation, whether temporary or permanent, of any transportation service operating to, from or in the state, to contract in the name of the

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state with any person, including but not limited to any common carrier, any transit district formed under chapter 103a or any special act, or any political subdivision or entity, or with the United States or any other state, or any agency, instrumentality, subdivision, department or officer thereof, for purposes of initiating, continuing, developing, providing or improving any such transportation service. Such contracts may include provision for arbitration of disputed issues.

(2) The commissioner, in order to aid or promote the operation of any transportation service operating outside the state, may contract in the name of the state with any person, including, but not limited to, any common carrier, or with the United States or any other state, or any agency, instrumentality, subdivision, department or officer thereof, for purposes of providing any transportation service in the event such assistance is required in the case of an emergency or a special event.

(3) The state, acting by and through the commissioner, may, by itself or in concert with others, provide all or a portion of any such transportation service, share in the costs of or provide funds for such service, or furnish equipment or facilities for use in such service upon such terms and conditions as the commissioner may deem necessary or advisable, and any such contracts may include, without limitation thereto, arrangements under which the state shall so provide service, share costs, provide funds or furnish equipment or facilities. To these ends, the commissioner may in the name of the state acquire or obtain the use of facilities and equipment employed in providing any such service by gift, purchase, lease or other arrangements and may own and operate any such facilities and equipment and establish, charge and collect such fares and other charges or arrange for such collection for the use or services thereof as he may deem necessary, convenient or desirable.

(4) The commissioner or any fare inspector, as defined in section 13b-2, shall have the authority to issue citations for any violation of section 13b-38i. The commissioner may also acquire title in fee simple to, or any lesser estate, interest or right in, any rights-of-way, properties or

facilities, including properties used on or before October 1, 1969, for rail or other forms of transportation services. The commissioner may hold such properties for future use by the state and may enter into agreements for interim use of such properties for other purposes.

(5) Any person contracting with the state pursuant to this section for the provision of any transportation service shall not be considered an arm or agent of the state. Any person contracting with the state pursuant to this section for the provision of any motor bus service shall not be subject to the provisions of section 13b-80, as amended by this act, except that on and after the effective date of this section, until July 1, 2026, any bus route operated by a person pursuant to a contract with the state and with a certificate issued pursuant to the provisions of section 13b-80, as amended by this act, as of the effective date of this section, shall continue to be operated by any person with a certificate issued pursuant to said section prior to the effective date of this section. Any damages caused by the operation of such transportation service by such person may be recovered in a civil action brought against such person in the superior court and such person may not assert the defense of sovereign immunity in such action.

Sec. 7. Section 13b-80 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

[No] Except as provided in subdivision (5) of subsection (a) of section 13b-34, as amended by this act, no person, association, limited liability company or corporation shall operate a motor bus without having obtained a certificate from the Department of Transportation or from the Federal Highway Administration pursuant to the Bus Regulatory Reform Act of 1982, P.L. 97-261, specifying the route and certifying that public convenience and necessity require the operation of a motor bus or motor buses over such route. Such certificate shall be issued only after written application for the same has been made. Upon receipt of such application, said department shall promptly give written notice of the pendency of such application to the mayor of each city, the warden of each borough or the first selectman of each town in or through which

147 the applicant desires to operate, and to any common carrier operating 148 over any portion of such route or over a route substantially parallel 149 thereto. Any town, city or borough within which, or between which and 150 any other town, city or borough in this state, any such common carrier 151 is furnishing service may bring a written petition to the department in 152 respect to routes, fares, speed, schedules, continuity of service and the 153 convenience and safety of passengers and the public. Thereupon the 154 department may fix a time and place for a hearing upon such petition 155 and mail notice thereof to the parties in interest at least one week prior 156 to such hearing. No such certificate shall be sold or transferred until the 157 department, upon written application to it, setting forth the purpose, 158 terms and conditions thereof and after investigation, approves the same. 159 The application shall be accompanied by a fee of one hundred seventy-160 six dollars. The department may amend or, for sufficient cause shown, 161 may suspend or revoke any such certificate. The department may 162 impose a civil penalty on any person or any officer of any association, 163 limited liability company or corporation who violates any provision of 164 any regulation adopted under section 13b-86 with respect to routes, 165 fares, speed, schedules, continuity of service or the convenience and 166 safety of passengers and the public, in an amount not to exceed one 167 hundred dollars per day for each violation. The owner or operator of 168 every motor bus shall display in a conspicuous place therein a 169 memorandum of such certificate. Notwithstanding any provision of 170 chapter 285, such certificate shall include authority to transport 171 baggage, express, mail and newspapers for hire in the same vehicle with 172 passengers under such regulations as the department may prescribe. 173 Any certificate issued pursuant to this section by the Division of Public 174 Utility Control within the Department of Business Regulation prior to 175 October 1, 1979, shall remain valid unless suspended or revoked by the 176 Department of Transportation.

Sec. 8. Subdivision (2) of subsection (b) of section 13a-95c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(2) Notwithstanding the provisions of subdivision (1) of this

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subsection, [there shall be a transition period during which] the Commissioner of Transportation may authorize the continued use of consultants if necessary to complete contracts authorized pursuant to section 13a-95b. [During this period, the] The commissioner shall make all reasonable efforts to perform development and inspection work as described in subsection (a) of this section using, where such employees are available, department employees and reducing, and where possible eliminating, the dependency on outside consultants. The commissioner shall establish a program to train department employees to support alternative project delivery methods. Such training program may be provided in projects utilizing consultants, as provided for in this section. The commissioner shall report, on or before October first annually, to the Governor of the progress made in training employees in alternative project delivery methods, improving the diversity of technical expertise of employees and building internal project delivery capacity. [The authority granted by this subdivision to use consultants on contracts entered into pursuant to section 13a-95b shall be subject to a termination date which shall be January 1, 2022, unless the Governor certifies that the use of consultants is necessary to complete projects authorized pursuant to section 13a-95b, which shall extend such termination date to a date not later than January 1, 2025.]

Sec. 9. Subsection (a) of section 13b-97 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):

(a) No person, association, limited liability company or corporation shall operate a taxicab until such person, association, limited liability company or corporation has obtained a certificate from the Department of Transportation certifying that public convenience and necessity require the operation of a taxicab or taxicabs for transportation of passengers, the acceptance or solicitation of which originates within the territory specified in such certificate except as provided under subsection (d) of this section. No such certificate shall be issued unless the department finds that the person, association, limited liability company or corporation is suitable to operate a taxicab service, after

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giving due consideration to, at a minimum, the following factors: (1) Any convictions of the applicant under federal, state or local laws relative to safety, motor vehicle or criminal violations; (2) the number of taxicabs to be operated under the certificate, provided no applicant for a new certificate shall operate fewer than three taxicabs; (3) the adequacy of the applicant's financial resources to operate the taxicab service; (4) the adequacy of insurance coverage and safety equipment; and (5) the availability of qualified taxicab operators. The commissioner shall request the state criminal history records check for any person or any officer of any association, limited liability company or corporation applying for such certificate from the State Police Bureau of Identification. The commissioner shall arrange for the fingerprinting of any person or any officer of any association, limited liability company or corporation applying for such certificate and forward the fingerprints to said bureau which shall submit the fingerprints to the Federal Bureau of Investigation for a national criminal history records check for any federal conviction specified in subdivision (1) of this subsection. The commissioner shall charge a fee for each such national criminal history records check which shall be equal to the fee charged by the Federal Bureau of Investigation for performing such check. Such certificate shall be issued only after written application, fingerprinting and said criminal history records check for the same has been made and public hearing held thereon. The application shall be accompanied by a fee of two thousand dollars and the fee for said criminal history records check. Upon receipt of such application, the department shall fix a time and place of hearing thereon [, provided such hearing shall be held not earlier than three months after such receipt,] and shall promptly give written notice of the pendency of such application and of the time and place of <u>such</u> hearing [thereon] to [such] <u>the</u> applicant, the mayor of each city, the warden of each borough or the first selectman of each town in which the applicant desires to originate the transportation of such passengers, and to any common carrier operating within the territory specified. Notwithstanding any provision of this subsection to the contrary, the department may, upon receipt of a written application, amend an existing certificate to increase the number of taxicabs which

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may be operated pursuant to the certificate without holding a hearing on the application, provided the department issues a legal notice of such application in a daily newspaper in accordance with the provisions of section 1-2, gives written notice of the pendency of such application to any common carrier operating within the territory specified and no objection is filed with the department within thirty days of each such notice.

Sec. 10. Section 13b-103 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):

(a) (1) No person, association, limited liability company or corporation shall operate a motor vehicle in livery service until such person, association, limited liability company or corporation has obtained a permit from the Department of Transportation, specifying the nature and extent of the service to be rendered and certifying that public convenience and necessity will be improved by the operation and conduct of such livery service. Such permits shall be issued only after a written application for the same has been made and a public hearing has been held thereon. Upon receipt of such application, together with the payment of a fee of two hundred dollars, the department shall fix a time and place of hearing thereon, within a reasonable time, and shall promptly give written notice of the pendency of such application and of the time and place of such hearing to each applicant, the mayor of each city, the warden of each borough and the first selectman of each town, within which any such applicant desires to maintain an office or headquarters, to any carrier legally operating motor vehicles in livery service within the same territory and to other interested parties as determined by the department. (2) Notwithstanding the provisions of subdivision (1) of this subsection, the department may issue a permit for the operation of vehicles (A) having a capacity of less than eleven adults or to be used exclusively at funerals, weddings, christenings, processions or celebrations, without holding a hearing and certifying that public convenience and necessity would be improved by the operation of such vehicles, or (B) having a capacity of not less than eleven or more than fourteen adults and used for sightseeing and

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related purposes, without holding a hearing, provided the department issues a legal notice, as provided under section 1-2, of such application and no objection is filed with the department within thirty days of publication of such notice. (3) Notwithstanding the provisions of subdivision (1) of this subsection, the department may issue a temporary or permanent permit to any person, association, limited liability company or corporation operating a motor vehicle engaged in the transportation of passengers for hire by virtue of a contract with, or a lower tier contract for, any federal, state or municipal agency that (A) is in effect on July 1, 1997, with or without hearing, after a written application for the same has been made and the department has determined that the applicant meets the requirements of subsection (b) of this section except with respect to public convenience and necessity, or (B) becomes effective after July 1, 1997, with or without hearing, after a written application for the same has been made and the department has determined that the applicant meets the requirements of subsection (b) of this section. Any such permit issued under the provisions of this subdivision (i) shall be limited to service provided under any such contract, and (ii) with respect to any contract under the provisions of subparagraph (A) of this subdivision, shall not authorize a total number of motor vehicles exceeding the number required to provide service existing under such contract on July 1, 1997. (4) Notwithstanding the provisions of subdivision (1) of this subsection, the department shall issue to any person who has an intrastate livery permit for at least one year, upon the application of such person, up to two additional vehicle authorizations each year without a hearing and without written notice of the pendency of the application, if all the existing permits held by such person are registered and in use and if there are no outstanding violations or matters pending adjudication against such person. The department shall have thirty calendar days to issue such amended permit.

(b) In determining whether or not such a permit will be granted, the Department of Transportation shall take into consideration the present or future public convenience and necessity for the service the applicant proposes to render, the suitability of the applicant or the suitability of

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the management if the applicant is a limited liability company or corporation, the financial responsibility of the applicant, the ability of the applicant efficiently and properly to perform the service for which authority is requested and the fitness, willingness and ability of the applicant to conform to the provisions of this chapter and the requirements and regulations of the department under this chapter.

- (c) Any interested party may bring a written petition to the Department of Transportation in respect to fares, service, operation or equipment, or the convenience, protection and safety of the public with regard to any carrier operating a motor vehicle in livery service. Thereupon, the department may fix a time and place for a hearing upon such petition and give notice thereof. No permit shall be sold or transferred until the department, upon written application to it setting forth the purpose, terms and conditions thereof and accompanied by a fee of two hundred dollars, after investigation, approves the same. The department may amend or, for sufficient cause shown, may suspend or revoke any such permit. The department may impose a civil penalty on any person or any officer of any association, limited liability company or corporation who violates any provision of this chapter or any regulation adopted under section 13b-102 with respect to fares, service, operation or equipment, in an amount not to exceed one thousand dollars per day for each violation. Prior to the imposition of a civil penalty under this subsection, the department shall provide notice to said person or officer no later than fifteen business days after receipt of information concerning an alleged violation and shall provide an opportunity for a hearing.
- (d) The owner or operator of each motor vehicle in livery service shall display in such vehicle such permit or a memorandum thereof.
- (e) (1) Any person who holds himself or herself out to be the operator of a motor vehicle in livery service who has not received a permit under this section shall be guilty of a class B misdemeanor.
 - (2) The state shall remit to a municipality fifty per cent of the fine amount received for a violation of subdivision (1) of this subsection with

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respect to each summons issued by such municipality. Each clerk of the Superior Court or the Chief Court Administrator, or any other official of the Superior Court designated by the Chief Court Administrator, shall, on or before the thirtieth day of January, April, July and October in each year, certify to the Comptroller the amount due for the previous quarter under this subsection to each municipality served by the office of the clerk or official.

- (f) The Department of Transportation may revoke a permit issued under this section or section 13b-105 without a hearing, provided (1) the department sends a notice of revocation to the permit holder at the address of the permit holder on file with the department and (A) the notice is returned as undeliverable or could not be delivered, or (B) the permit holder fails to respond to the notice within the time period specified by the department in such notice, (2) the department conducts a physical inspection of the address of the permit holder on file with the department and determines that no livery service is operated at such address, and (3) no motor vehicle is registered by the permit holder with the Department of Motor Vehicles to be used as specified in the permit pursuant to section 13b-106.
- Sec. 11. Subsection (a) of section 13b-389 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October* 1, 2021):
 - (a) No person shall operate any motor vehicle in the transportation of household goods for hire as a household goods carrier without first having obtained from the Commissioner of Transportation [, after hearing,] a certificate of public convenience and necessity to so operate.
- Sec. 12. Section 13b-391 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):
 - [After the hearing provided for in section 13b-390, the] <u>The</u> Commissioner of Transportation may issue to the applicant a certificate of public convenience and necessity in a form to be prescribed by [him] <u>the commissioner</u> or may refuse to issue the same, or may issue it for the

partial exercise only of the privilege sought, and may prescribe therein such limitations as, in [his] the commissioner's judgment, public interest may require.

Sec. 13. Section 13b-392 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):

In determining whether or not such a certificate shall be granted, the Commissioner of Transportation shall take into consideration the existing motor transportation facilities and the effect upon them of granting such certificate, the suitability of the applicant, or the suitability of the management if the applicant is a corporation, the financial responsibility and financial stability of the applicant, the ability of the applicant efficiently to perform the service for which authority is requested [,] and the criminal history of the applicant. [, the condition of and effect upon the highways involved and the safety of the public using such highways. The commissioner shall take into consideration such recommendations as to motor transportation facilities, or highways, or the effect of granting such certificate upon either of them, or the safety of the public using such highways.] No such certificate shall be denied solely on the ground that there is an existing rail or household goods carrier service. When it appears that no household goods carrier service is being supplied over the route or routes applied for, public convenience and necessity shall be presumed to require operation of such service.

- Sec. 14. Subdivision (1) of subsection (b) of section 19a-342 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):
- (b) (1) Notwithstanding the provisions of section 31-40q, no person shall smoke: (A) In any building or portion of a building, [partially enclosed shelter on a rail platform or bus shelter] owned and operated or leased and operated by the state or any political subdivision [thereof] of the state; (B) in any area of a health care institution; (C) in any area of a retail food store; (D) in any restaurant; (E) in any area of an establishment with a permit issued for the sale of alcoholic liquor

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pursuant to section 30-20a, 30-21, 30-21b, 30-22, 30-22c, 30-28, 30-28a, 30-33a, 30-33b, 30-35a, 30-37a, 30-37e or 30-37f, in any area of an establishment with a permit for the sale of alcoholic liquor pursuant to section 30-23 issued after May 1, 2003, and, on and after April 1, 2004, in any area of an establishment with a permit issued for the sale of alcoholic liquor pursuant to section 30-22a or 30-26 or the bar area of a bowling establishment holding a permit pursuant to subsection (a) of section 30-37c; (F) within a school building or on the grounds of such school; (G) within a child care facility or on the grounds of such child care facility, except, if the child care facility is a family child care home, as defined in section 19a-77, such smoking is prohibited only when a child enrolled in such home is present; (H) in any passenger elevator, provided no person shall be arrested for violating this subsection unless there is posted in such elevator a sign which indicates that smoking is prohibited by state law; (I) in any dormitory in any public or private institution of higher education; [or] (J) on and after April 1, 2004, in any area of a dog race track or a facility equipped with screens for the simulcasting of off-track betting race programs or jai alai games; or (K) in any area of a platform or a shelter at a rail, busway or bus station, owned and operated or leased and operated by the state or any political subdivision of the state. For purposes of this subsection, "restaurant" means space, in a suitable and permanent building, kept, used, maintained, advertised and held out to the public to be a place where meals are regularly served to the public, "school" has the same meaning as provided in section 10-154a and "child care facility" has the same meaning as provided in section 19a-342a.

Sec. 15. Special act 91-32 is amended to read as follows (*Effective from passage*):

Notwithstanding the provisions of section 13b-268 of the general statutes or any other provision of the general statutes, special act or regulation which prohibits the construction of any new highway railroad crossing at-grade, the [commissioner of transportation] Commissioner of Transportation shall construct an at-grade crossing for [emergency vehicles] vehicle and pedestrian traffic at the east end of

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451 Portland Street and Bridge Street in the town of Middletown. The

- 452 crossing shall be constructed subject to the provisions of sections 13b-
- 453 342 to [13b-347] <u>13b-345</u>, inclusive, of the general statutes.
- Sec. 16. Section 4e-30 of the general statutes is repealed and the
- following is substituted in lieu thereof (*Effective from passage*):
- 456 (a) A state contracting agency may audit the books and records of a
- 457 contractor or any subcontractor under any negotiated contract or
- 458 subcontract to the extent that such books and records relate to the
- 459 performance of such contract or subcontract. Such books and records
- shall be maintained by the contractor for a period of three years from
- 461 the date of final payment under the prime contract and by the
- subcontractor for a period of three years from the expiration of the
- 463 subcontract.
- (b) If a state contracting agency enters into an amendment to any
- 465 negotiated contract or subcontract that extends the terms of such
- 466 contract or subcontract, the amendment shall be deemed a new and
- separate negotiated contract for the purposes of this section. The books
- 468 and records of a contractor or any subcontractor related to the
- performance of such amendment shall be maintained by the contractor
- or subcontractor from the commencement of such amendment until a
- 471 period of three years from the date of final payment under such
- amendment or the date of expiration of such amendment, whichever is
- 473 later.
- Sec. 17. Subsection (c) of section 14-100a of the general statutes is
- 475 repealed and the following is substituted in lieu thereof (*Effective October*
- 476 1, 2021):
- (c) (1) The operator of and any [front seat] passenger in any motor
- vehicle or fire fighting apparatus originally equipped with seat safety
- belts complying with the provisions of 49 CFR 571.209, as amended
- 480 from time to time, shall wear such seat safety belt while the vehicle or
- 481 <u>fire fighting apparatus</u> is being operated on any highway, except as
- 482 follows:

(A) A child under eight years of age shall be restrained as provided in subsection (d) of this section; <u>and</u>

- (B) The operator of such vehicle shall secure or cause to be secured in a seat safety belt any passenger eight years of age or older and under sixteen years of age. [; and]
- [(C) If the operator of such vehicle is under eighteen years of age, such operator and each passenger in such vehicle shall wear such seat safety belt while the vehicle is being operated on any highway.]
- (2) The provisions of subdivision (1) of this subsection shall not apply to: (A) [any] Any person whose physical disability or impairment would prevent restraint in such safety belt, provided such person obtains a written statement from a licensed physician or a licensed advanced practice registered nurse containing reasons for such person's inability to wear such safety belt and including information concerning the nature and extent of such condition. Such person shall carry the statement on his or her person or in the motor vehicle at all times when it is being operated, [or] (B) an authorized emergency vehicle, other than fire fighting apparatus, responding to an emergency call or a motor vehicle operated by a rural letter carrier of the United States postal service while performing his or her official duties or by a person engaged in the delivery of newspapers, or (C) any passenger on a bus, as defined in 49 USC 30127, as amended from time to time.
- (3) Failure to wear a seat safety belt shall not be considered as contributory negligence nor shall such failure be admissible evidence in any civil action.
- (4) No law enforcement official may stop a motor vehicle solely for
 the apparent or actual failure of a back seat passenger who is sixteen
 years of age or older to wear a seat safety belt.
- [(4)] (5) Any operator of a motor vehicle, who is eighteen years of age or older, and any passenger in such motor vehicle, who violates any provision of this subsection shall have committed an infraction and shall

be fined fifty dollars. Any operator of a motor vehicle who is under eighteen years of age and any passenger in such motor vehicle who violates any provision of this subsection shall have committed an infraction and shall be fined seventy-five dollars. Points may not be assessed against the operator's license of any person convicted of such

- Sec. 18. Section 54-33m of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):
- The failure of an operator of, or [front seat] passenger in, a private passenger motor vehicle or vanpool vehicle to wear a seat safety belt as required by section 14-100a, as amended by this act, shall not constitute probable cause for a law enforcement official to conduct a search of such vehicle and its contents.
- Sec. 19. Section 13a-124a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective from passage*):
 - (a) As used in this section, ["specific information sign"] <u>"a specific service sign"</u> means a rectangular sign with the word GAS, FOOD, LODGING, [or] CAMPING <u>or ATTRACTION</u> and exit directional information pertaining to the designated motorist service placed [at the top of] <u>on</u> the sign and upon which is mounted separately attached business [signs] <u>sign panels</u> showing the brand, symbol, trademark or name, or any combination of these, for the designated service available on a crossroad at or near an interchange or intersection.
 - [(b) The Commissioner of Transportation may issue permits for the erection and maintenance of specific information signs and business signs within the rights-of-way of any portion of a state-maintained limited access highway, except a parkway. The commissioner shall not issue any such permit to any person or company until such person or company files with the commissioner a bond or recognizance to the state, satisfactory to the commissioner and in such amount as the commissioner determines, subject to forfeiture upon failure to comply with (1) the requirements of this section, (2) regulations adopted

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pursuant to this section, or (3) any orders of the commissioner relating to the erection and maintenance of specific information signs and business signs. Any such bond or recognizance shall remain in full force and effect as long as such person or company is subject to any such requirements, regulations or orders as provided in this section.

- (c) Any person or company issued a permit in accordance with subsection (b) of this section shall be reimbursed, by subsequent permittees on the same sign, the costs associated with said sign divided by the number of other permittees on said sign.
- (d) The commissioner shall adopt regulations in accordance with chapter 54 to carry out the purposes of this section. Such regulations shall include, but not be limited to, establishment of (1) fees for the permits issued under subsection (b) of this section, (2) reimbursements issued pursuant to subsection (c) of this section, and (3) standards for the location, size and maintenance of specific information signs and business signs.]
- (b) The Commissioner of Transportation may enter into an agreement with a qualifying person or company regarding the erection, maintenance and removal of a specific service sign within the rights-of-way of any portion of a state-maintained limited access highway, except a parkway. The commissioner shall adopt regulations, in accordance with the provisions of chapter 54, regarding (1) the design and installation requirements for a specific service sign, (2) the minimum qualifications for a person or company to obtain a specific service sign, (3) the application process to obtain a specific service sign, (4) the financial responsibility of such person or company, and (5) the terms regarding the removal of a specific service sign or revocation of an agreement with such person or company.
- Sec. 20. Section 13b-237 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2021*):
 - (a) (1) The Commissioner of Transportation shall not, directly or indirectly, sell, transfer, salvage or otherwise dispose of any surplus rail

[or other track] material, [unless the commissioner has offered such rail or other track material including, but not limited to, rail sections having a maximum length of two hundred feet, ties, tie plates and other track material, without first offering such surplus rail material to freight railroad companies for upgrading state-owned rights-of-way. [Said commissioner shall offer any remaining rail or other track material, if any, to freight railroad companies for upgrading other rail lines located within the state. If any freight railroad company accepts such offer, the Department of Transportation shall transfer such rail or other track material to the recipient's designated material site within the state at a charge to such recipient that, in the case of state-owned rights-of-way does not exceed the value, as scrap, of the materials replaced by the material transferred by said department, and, in the case of non-stateowned rights-of-way, does not exceed the value, as scrap, of the materials transferred by said department.] Such offer shall be in writing and shall be sent by first class mail or electronic mail. No later than thirty days after the date of such offer, a freight railroad company interested in acquiring such surplus material shall submit, in a manner prescribed by the commissioner, a notification of interest and a statement regarding the need and intended use of such surplus material. If more than one freight railroad company submits a notification of interest, the commissioner may select a freight railroad company based on the prior distribution of surplus rail material and the best intended use of such surplus rail material on state property as determined by the commissioner. The commissioner shall send a notification of selection to the selected freight railroad company by first class mail or electronic mail.

- (2) The commissioner shall offer remaining surplus rail material, if any, to freight railroad companies for upgrading other rail lines located within the state in the same manner as provided for in subdivision (1) of this subsection.
- 609 (3) The commissioner shall make any surplus rail material available 610 for inspection at a designated location within a rail yard or along a 611 siding track in the state.

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(b) A freight railroad company that received a notice of selection shall accept delivery of the surplus rail material not later than thirty days after receipt of such notice. If the selected freight railroad company does not accept delivery within such thirty-day period, the commissioner may select another fright railroad company that submitted a notification of interest and statement pursuant to subsection (a) of this section or salvage or otherwise dispose of the surplus rail material. The selected freight railroad company shall (1) arrange for and pay the costs associated with the handling and delivery of the surplus rail material from a specific location within a rail yard or along a siding track in the state, (2) accept the surplus rail material in "as is" condition, (3) acknowledge that the commissioner assumes no responsibility for the quality or fitness of the surplus rail material, and (4) install the surplus rail material pursuant to the statement submitted to the commissioner in accordance with subsection (a) of this section unless otherwise approved in writing by the commissioner. The selected freight railroad company shall not salvage the surplus rail material and obtain reimbursement for the cost of the handling and delivery of the surplus rail material, but may salvage any material replaced by the surplus rail material to offset such costs.

- (c) The commissioner may enter into agreements with salvage companies for the salvage or disposal of surplus rail material that is not distributed to a freight railroad company pursuant to this section.
- Sec. 21. (Effective from passage) On or before January 1, 2022, the Commissioner of Transportation shall submit a report, in accordance with the provisions of section 11-4a of the general statutes, to the joint standing committee of the General Assembly having cognizance of matters relating to transportation concerning the status of (1) installing a side rail on the New Canaan branch of the New Haven Line, as defined in section 13b-79a of the general statutes, and (2) increasing direct service to the state of New York on the Danbury branch of the New Haven Line.
- Sec. 22. Section 13b-390 of the general statutes is repealed. (Effective

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645 October 1, 2021)

This act shall take effect as follows and shall amend the following					
sections:					
Section 1	from passage	13a-73(h)			
Sec. 2	from passage	13a-151			
Sec. 3	October 1, 2021	14-298a			
Sec. 4	from passage	13b-20e(a)			
Sec. 5	from passage	13b-20f			
Sec. 6	from passage	13b-34(a)			
Sec. 7	from passage	13b-80			
Sec. 8	from passage	13a-95c(b)(2)			
Sec. 9	from passage	13b-97(a)			
Sec. 10	October 1, 2021	13b-103			
Sec. 11	October 1, 2021	13b-389(a)			
Sec. 12	October 1, 2021	13b-391			
Sec. 13	October 1, 2021	13b-392			
Sec. 14	October 1, 2021	19a-342(b)(1)			
Sec. 15	from passage	SA 91-32			
Sec. 16	from passage	4e-30			
Sec. 17	October 1, 2021	14-100a(c)			
Sec. 18	October 1, 2021	54-33m			
Sec. 19	from passage	13a-124a			
Sec. 20	October 1, 2021	13b-237			
Sec. 21	from passage	New section			
Sec. 22	October 1, 2021	Repealer section			

Statement of Legislative Commissioners:

In Section 3(a)(2), "vehicle and load" was changed to "such vehicle and the load" for clarity, in Section 6(a)(5), "by the Department of Transportation" and "by the department" was removed for accuracy, in Section 8(2), brackets were added in the first and second sentences to conform with the other changes being made in the subdivision, in Section 16(b), "or subcontractor" was added for accuracy, and in Section 21, "the state of" was added for consistency with standard drafting conventions.

TRA Joint Favorable Subst.

The following Fiscal Impact Statement and Bill Analysis are prepared for the benefit of the members of the General Assembly, solely for purposes of information, summarization and explanation and do not represent the intent of the General Assembly or either chamber thereof for any purpose. In general, fiscal impacts are based upon a variety of informational sources, including the analyst's professional knowledge. Whenever applicable, agency data is consulted as part of the analysis, however final products do not necessarily reflect an assessment from any specific department.

OFA Fiscal Note

State Impact:

Agency Affected	Fund-Effect	FY 22 \$	FY 23 \$
Resources of the General Fund	GF - Potential	Minimal	Minimal
	Revenue Gain		
Judicial Dept.; Correction, Dept.	GF - Potential	See Below	See Below
	Cost		
Department of Transportation	TF - Potential	See Below	See Below
	Cost		
Department of Transportation	TF - Potential	See Below	See Below
	Savings		

Note: GF=General Fund; TF=Transportation Fund

Municipal Impact:

Municipalities	Effect	FY 22 \$	FY 23 \$
Various Municipalities	Potential	See Below	See Below
	Revenue		
	Gain		

Explanation

Section 2 clarifies when vehicle owners are liable for damages to bridges caused by overweight vehicles and, to the extent that the state or municipalities recover additional damages, results in a potential revenue gain.

Section 3 increases penalties for operating overweight vehicles on or under bridges from an infraction, which usually ranges from a \$100 to a \$300 fine, to up to a \$1,500 fine for a first offense and a class A misdemeanor for a subsequent offense, which is punishable by up to one year in prison, a \$2,000 fine, or both. In FY 20 there were 219 violations with total revenue from fines of \$30,892. The bill results in a

potential revenue gain to the extent that fines are issued for first or subsequent offenses, and a potential cost for probation or incarceration for subsequent offenses only. On average, the marginal cost to the state for incarcerating an offender for the year is \$2,200¹ while the average marginal cost for supervision in the community is less than \$700² each year.

Sections 6 and 7 specify that people providing bus service under a contract with DOT are not required to have a certificate of public convenience or necessity, conforming to current practice. The bill provides an exception, specifying that until July 1, 2026, any bus route operated by a person both 1) under contract with DOT and 2) with a certificate, must continue to be operated by a person with a certificate issued prior to the bill's effective date. These sections, to the extent that the restriction limits DOT from contracting with lower cost operators, results in a potential cost.

Section 8 makes permanent DOT's authority to use two alternative contract methods. The impact of using either "construction-manager-at-risk" contracts with a guaranteed maximum price or design-build contracts will depend on 1) type of project, 2) who provides architectural/engineering services and 3) bid selection process. However, it is assumed that the department would not move forward on projects based on alternative contracts unless they resulted in cost savings compared to alternative procedures.

Section 14 expands circumstances where smoking is prohibited at bus and rail facilities and results in a potential minimal revenue gain from fines. In FY 20, the current statute prohibiting smoking in various locations resulted in 17 fines totaling \$1,996.

¹Inmate marginal cost is based on increased consumables (e.g. food, clothing, water, sewage, living supplies, etc.) This does not include a change in staffing costs or utility expenses because these would only be realized if a unit or facility opened.

²Probation marginal cost is based on services provided by private providers and only includes costs that increase with each additional participant. This does not include a cost for additional supervision by a probation officer unless a new offense is anticipated to result in enough additional offenders to require additional probation officers.

Section 17 expands seat belt requirements to most back seat passengers and makes it a secondary offense, prohibiting officers from stopping a vehicle unless another offense has occurred. This section results in a potential minimal revenue gain from fines.

Section 19 combines two DOT programs for signs on limited access highways and permits the department to enter into agreements for the erection, maintenance, and removal of specific service signs within certain state rights-of-way. To the extent the combined program generates additional sign requests, this section results in a potential minimal revenue gain.

Section 20 removes the requirement that DOT deliver surplus rail materials to eligible railroad companies requesting such material for purposes of upgrading state-owned rights-of-way. This section results in a potential savings to DOT of up to \$500,000 annually in avoided delivery charges.

Section 21 requires DOT to submit a report regarding the New Canaan and Danbury Branch lines to the Transportation Committee on or before January 1, 2022. This section does not result in a fiscal impact because this is within DOT's current expertise.

Other sections of the bill are technical in nature or otherwise do not have a fiscal impact.

The Out Years

The annualized ongoing fiscal impact identified above would continue into the future subject to inflation, the number of violations, or as otherwise described.

OLR Bill Analysis sHB 6484

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FILE NO. 432

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§§ 4 & 5 — CHANGES TO CONSULTANT DEADLINES

Moves up the deadline for consultant prequalification applications from November 15 to October 15 and reduces the frequency of consultant performance evaluations from once every six months to once a year

§§ 6 & 7 — MOTOR BUS CERTIFICATES

Specifies that people providing bus service under a contract with DOT do not need a motor bus certificate, except in the case of routes currently operated by certificated holders

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§§ 11-13 & 22 — HOUSEHOLD GOODS CARRIER CERTIFICATES

Eliminates requirements that DOT, before permitting an applicant to operate a moving company, (1) hold a hearing and (2) consider highway condition

§ 14 — SMOKING PROHIBITION AT RAIL PLATFORMS AND BUS SHELTERS

Prohibits smoking in any area of a platform or shelter at bus and rail facilities, not just in those that are partially-enclosed

<u> § 15 — PORTLAND STREET RAIL CROSSING IN MIDDLETOWN</u>

Allows all vehicles to use the Portland Street rail crossing in Middletown

§ 16 — AMENDMENTS TO NEGOTIATED CONTRACTS

Specifies that an amendment to a negotiated contract is considered a new and separate contract and is subject to a three-year books and record retention requirement

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§ 19 — SERVICE SIGNS ON LIMITED ACCESS HIGHWAYS

Combines two limited access highway sign programs into one Specific Service Sign program, in conformance with federal regulations, and requires DOT to adopt implementing regulations

§ 20 — DISTRIBUTION OF SURPLUS RAIL MATERIAL

Modifies the process for distributing surplus rail material to freight railroad companies

§ 21 — METRO NORTH BRANCH LINE REPORTS

Requires DOT to report on the status of installing side rail on the New Canaan line and increasing direct service to New York on the Danbury line

BACKGROUND

AN ACT CONCERNING RECOMMENDATIONS BY THE DEPARTMENT OF TRANSPORTATION.

SUMMARY

This bill makes numerous changes to transportation-related statutes. Among other things, it:

- 1. requires all motor vehicle occupants to wear seat belts, not just drivers, front seat passengers, and certain back seat passengers (§§ 17 & 18);
- 2. specifies that DOT is not required to issue motor bus certificates to companies it contracts with, except under certain circumstances (§§ 6 & 7);
- 3. makes permanent DOT's authority to use consultants for projects using an alternative delivery method, subject to certain conditions in existing law (§ 8); and
- 4. prohibits crossing a bridge with a vehicle that exceeds the posted

weight limit and establishes a fine for doing so, increases the fine for driving under bridges while exceeding the posted clearance, and clarifies the liability of overweight trucks for damage to bridges (§§ 2 & 3).

EFFECTIVE DATE: Upon passage, unless otherwise noted below.

§ 1 — STATE PROPERTIES REVIEW BOARD THRESHOLD

Increases, from \$5,000 to \$10,000, the threshold under which certain DOT property transactions do not require State Properties Review Board approval

By law, the State Properties Review Board must review and approve (1) matters dealing with the initial acquisition of any existing mass transit system or the purchase or sale of real property (i.e., land and buildings and any estate, interest, or right in land) in connection with state highways or mass transit and (2) all surplus property sales or exchanges by DOT.

However, by law, acquisitions and administrative settlements related to these properties that involve sums of money that fall below a certain threshold must be reported to the board but do not require the board's review and approval. The bill increases this threshold from \$5,000 to \$10,000.

§§ 2 & 3 — OVERWEIGHT VEHICLES ON BRIDGES

Prohibits crossing a bridge with a vehicle that exceeds the posted weight limit; increases the fine for driving under bridges while exceeding the posted clearance and extends the fine to vehicles exceeding the weight limit; and clarifies the applicability of a statute on the liability of overweight trucks for damage to bridges

Existing law prohibits driving over, on, through, or under any bridge or structure if the vehicle's height or load exceeds the height of the posted clearance or load shown on a sign. The bill additionally prohibits doing so when the weight of the vehicle or the vehicle and load exceeds the posted weight limit.

The bill also (1) increases the penalties for violating the height or load limits and (2) extends the same penalties to violations of its weight limit. Currently, a violation of the height or load limit is an infraction, subject to a \$50 fine plus additional surcharges and payable by mail (see

BACKGROUND).

Under the bill, a first violation is punishable by a fine of up to \$1,500, which is not payable by mail, and a subsequent offense is a class A misdemeanor, punishable by up to one year in prison, up to a \$2,000 fine, or both.

The bill also clarifies the application of a law that makes vehicle owners liable for damage to bridges caused by overweight vehicles. It specifies that the law applies when the vehicle has a gross weight that exceeds the posted weight limit, rather than the stated maximum safe load. It also deletes an obsolete reference to reckless driving, which is primarily a speed-related offense addressed in the motor vehicle statutes (CGS § 14-222).

EFFECTIVE DATE: October 1, 2021, for the overweight vehicle prohibition and the penalty increase.

§§ 4 & 5 — CHANGES TO CONSULTANT DEADLINES

Moves up the deadline for consultant prequalification applications from November 15 to October 15 and reduces the frequency of consultant performance evaluations from once every six months to once a year

Consultant Prequalification (§ 4)

By law, consultants who wish to provide services to DOT in any year must prequalify by submitting information, in the preceding calendar year, on their qualifications. The bill moves up the deadline for these prequalification submissions from November 15 to October 15 of the preceding calendar year.

As under existing law, the DOT commissioner must annually publish notice sometime between September 1 and October 1 that entities wishing to provide consultant services must submit prequalification applications to the department. Annually by January 1, the commissioner must review and determine which consultants are qualified to perform services.

Consultant Evaluation (§ 5)

The law requires DOT to conduct performance evaluations of all

consultants who have active agreements with the department. The bill reduces the required frequency of these evaluations from once every six months to at least annually.

§§ 6 & 7 — MOTOR BUS CERTIFICATES

Specifies that people providing bus service under a contract with DOT do not need a motor bus certificate, except in the case of routes currently operated by certificated holders

By law, the DOT commissioner may, in order to aid or promote the temporary or permanent operation of any transportation service, contract with any person, including a common carrier, to initiate, continue, develop, provide, or improve a transportation service.

The bill specifies that any person that DOT contracts with under this authority to provide bus service is not required to obtain a motor bus certificate (see BACKGROUND), conforming to current department practice. But it provides one exception to this rule, specifying that until July 1, 2026, any bus route operated by a person under contract with the state and with a motor bus certificate as of the bill's effective date must continue to be operated by a person with a certificate issued prior to the bill's effective date.

§ 8 — USE OF CONSULTANTS FOR PROJECTS USING ALTERNATIVE DELIVERY METHODS

Makes permanent DOT's authority to use consultants for projects using alternative delivery methods, subject to certain conditions in existing law

The law allows DOT to use the "construction manager at risk" (CMAR) or "design-build" processes (see BACKGROUND) as alternatives to the traditional "design-bid-build" construction process. This authorization is subject to certain conditions, including limits on the department's use of consultants for these projects. Generally, the law seeks to have DOT gradually reduce the use of these consultants and, where possible, have its employees perform development and inspection work.

More specifically, the law requires that DOT use department employees to perform all development and inspection work after the first two alternative delivery projects are performed. The administrative

services commissioner must place positions required for this work on continuous recruitment, and employees may be appointed to durational positions to reduce the need for consultants to perform inspection or development work, including employees who have met engineering education and training requirements but have not taken an examination.

Regardless of these restrictions on consultants, current law establishes a "transition period" during which DOT may continue using consultants to complete projects using alternative delivery methods. This period expires (1) January 1, 2022, or (2) January 1, 2025, if the governor certifies that the continued use of consultants is necessary to complete alternative delivery projects.

The bill eliminates the expiration date and transition period language, making this authority to use consultants permanent.

Existing law's capacity building requirements and restrictions on consultant use continue to apply, including requirements for DOT to:

- 1. make reasonable efforts to (a) use DOT employees, if available, for development and inspection work and (b) reduce, and eliminate where possible, dependency on outside consultants;
- 2. establish a program to train DOT employees to support alternative delivery methods; and
- 3. annually report to the governor on progress made in training employees on the alternative delivery methods, improving the diversity of employees' technical expertise, and building internal project delivery capacity.

§ 9 — TAXI CERTIFICATE HEARINGS

Eliminates the three-month waiting period for hearings on taxi certificate applications

By law, DOT authorizes taxi services by issuing certificates of convenience and necessity, which allow taxis to accept and solicit rides within a specified territory. The law sets a number of applicant

qualification and procedural requirements, including that the department hold a hearing on certificate applications. Current law requires the department to wait at least three months after receiving a certificate application before holding a hearing. The bill eliminates this waiting period, allowing DOT to hold hearings at any time after receiving an application.

The law, unchanged by the bill, requires DOT, upon receiving a certificate application, to schedule a hearing and promptly give notice of it to the applicant, the chief elected official of each municipality in the proposed territory, and any common carriers operating within the territory.

§ 10 — STAGNANT LIVERY PERMITS

Establishes a process for revoking stagnant livery service permits

The bill sets conditions under which DOT may, without a hearing, revoke a stagnant livery service permit (e.g., limousines).

Specifically, it allows DOT to revoke a livery permit without a hearing if the following conditions are met:

- 1. DOT sends a revocation notice to the holder at the address the department has on file and (a) the notice is returned undeliverable or could not be delivered or (b) the permit holder fails to respond within the timeframe specified in the notice;
- DOT conducts a physical inspection of the address it has on file for the permit holder and determines that no livery service is operated at the address; and
- 3. no motor vehicle is registered to the permit holder with DMV for use under the permit.

EFFECTIVE DATE: October 1, 2021

§§ 11-13 & 22 — HOUSEHOLD GOODS CARRIER CERTIFICATES

Eliminates requirements that DOT, before permitting an applicant to operate a moving company, (1) hold a hearing and (2) consider highway condition

The bill eliminates the requirement that the DOT commissioner, before issuing a household goods carrier (i.e., moving company) certificate, hold a hearing on the application. It also eliminates the requirement that the commissioner, in determining whether to issue a certificate, consider the condition of the involved highways and how the issuance will affect highway condition and public safety.

Existing law, unchanged by the bill, requires the commissioner to consider the following when issuing a certificate:

- 1. the applicant's suitability, or the suitability of management if the applicant is a corporation;
- 2. the applicant's financial responsibility, financial stability, and ability to efficiently perform the service;
- 3. the applicant's criminal history; and
- 4. existing motor transportation facilities and the effect on them of granting a certificate.

The bill also eliminates obsolete language referring to recommendations the commissioner must take into consideration.

EFFECTIVE DATE: October 1, 2021

§ 14 — SMOKING PROHIBITION AT RAIL PLATFORMS AND BUS SHELTERS

Prohibits smoking in any area of a platform or shelter at bus and rail facilities, not just in those that are partially-enclosed

The bill prohibits smoking in any area of a platform or shelter at a rail, busway, or bus station that is owned or leased and operated by the state or any political subdivision. Under current law, smoking is prohibited only in partially enclosed shelters on rail platforms or in bus shelters that are owned or leased and operated by the state or any political subdivision.

EFFECTIVE DATE: October 1, 2021

§ 15 — PORTLAND STREET RAIL CROSSING IN MIDDLETOWN

Allows all vehicles to use the Portland Street rail crossing in Middletown

The bill allows all vehicles, not just emergency vehicles, to use an atgrade crossing at the east end of Portland Street and Bridge Street in Middletown.

§ 16 — AMENDMENTS TO NEGOTIATED CONTRACTS

Specifies that an amendment to a negotiated contract is considered a new and separate contract and is subject to a three-year books and record retention requirement

By law, state contracting agencies may audit the books and records of a contractor or subcontractor under any negotiated contract or subcontract to the extent that the books and records relate to the performance of the contract or subcontract. The contractor must maintain the books and records for three years from the date of final payment under the prime contract and the subcontractor must maintain them for three years from the expiration of the subcontract.

The bill specifies that, if a state contracting agency enters into an amendment to any negotiated contract or subcontract, the amendment must be considered a new and separate negotiated contract for the purposes of the above provisions. The contractor or subcontractor must maintain its books and records related to performing the amendment for at least three years after the date of final payment under the amendment, or the date the amendment expires, whichever is later.

By law, a "state contracting agency," with certain exceptions, is an executive branch agency, board, commission, department, office, institution, or council.

§§ 17 & 18 — BACK SEAT PASSENGER SEAT BELT USE

Requires, with some exceptions, all motor vehicle occupants to wear seat belts, not just drivers, front seat passengers, and certain back seat passengers

The bill requires all occupants in a motor vehicle or fire-fighting apparatus to wear a seat belt while the vehicle is moving. Current law requires only the driver, front seat passenger, and certain back seat passengers (i.e., passengers under age 16 and passengers of drivers under age 18) to do so.

The bill makes the failure to wear a seat belt by any back seat passenger age 16 or older a secondary offense, prohibiting officers from stopping a vehicle unless another offense has occurred. Under current law, back seat passengers of drivers under age 18 who fail to wear a seat belt commit a primary offense, which allows a law enforcement officer to stop the vehicle solely for that offense. As under existing law, a driver or front seat passenger who fails to wear a seat belt commits a primary offense.

The bill exempts bus passengers from the seat belt use requirement. As under existing law, the following are also exempt:

- 1. children under age 8, who must instead be secured in an appropriate car seat or booster seat;
- 2. any person with a physical disability or impairment that would prevent restraint in a seat belt;
- 3. authorized emergency vehicles (other than firefighting apparatus) responding to an emergency call;
- 4. a motor vehicle operated by a rural letter carrier of the U.S. postal service while performing official duties; and
- 5. a person delivering newspapers.

As under existing law, failure to wear a seat belt is not probable cause for law enforcement to search a vehicle and its contents. Violators commit an infraction (see BACKGROUND) and are subject to existing fines of (1) \$50 if the vehicle driver is age 18 or older or (2) \$75 if the vehicle driver is under age 18.

EFFECTIVE DATE: October 1, 2021

§ 19 — SERVICE SIGNS ON LIMITED ACCESS HIGHWAYS

Combines two limited access highway sign programs into one Specific Service Sign program, in conformance with federal regulations, and requires DOT to adopt implementing regulations

DOT currently administers two programs for signs on limited access

highways: (1) the Specific Information Signs on Limited Access Highways Program (i.e., food, gas, lodging, and camping logo signs), which is established in state law (see below), and (2) the Tourist Attraction Guide Sign Program for Limited Access Highways (see BACKGROUND).

The bill appears to combine these programs into one statutory Specific Service Sign program to conform with the federal Manual on Uniform Traffic Control Devices (see BACKGROUND). It allows the DOT commissioner to enter into an agreement with a qualifying person or company for the erection, maintenance, and removal of a specific service sign within the rights-of-way of state-maintained limited-access highways, other than parkways. It requires DOT to adopt regulations on:

- 1. specific service sign design and installation requirements,
- 2. the minimum qualifications and application process for a person or company to get a specific service sign,
- 3. the financial responsibility of the person or company, and
- 4. terms regarding specific service sign removal or agreement revocation.

The bill repeals the current specific information sign program and the corresponding authority to adopt regulations. In doing so, it eliminates the statutory requirements that people or companies seeking to erect signs (1) obtain encroachment permits from DOT and (2) file with the commissioner a bond or recognizance with the state. It also eliminates a statutory requirement that a person or company be reimbursed for a portion of the sign's costs by subsequent permittees on the same sign.

§ 20 — DISTRIBUTION OF SURPLUS RAIL MATERIAL

Modifies the process for distributing surplus rail material to freight railroad companies

By law, DOT must offer rail and other track material to freight railroad companies for upgrading state-owned rights-of-way before

directly or indirectly selling, transferring, or otherwise disposing of this material. The bill additionally (1) requires DOT to do so before it salvages this material and (2) specifies that this requirement applies to material that is surplus and includes rail sections up to 200 feet in length, ties, and tie plates.

The bill also modifies the process for notifying and selecting recipients, requires that material be made available for inspection, and modifies the process for distributing material to selected recipients. It also allows DOT to enter into agreements with salvage companies for salvaging or disposing of surplus rail material that is not distributed to freight rail companies.

EFFECTIVE DATE: October 1, 2021

Notification and Selection of Recipients

The bill establishes a more specific process for notifying and selecting freight railroad companies to receive the material. It requires DOT to offer surplus material in writing and send the offer by first class mail or e-mail. Within 30 days after receiving an offer, an interested freight railroad company must submit, in a manner the commissioner prescribes, a notice of interest and a statement on why it needs the material and how it intends to use it. If more than one company submits a notice, the commissioner may choose a company based on the prior distribution of surplus material and the best intended use of the material on state property, as determined by the commissioner. The commissioner must notify the company it has selected by first class mail or e-mail.

As under current law, DOT must offer any remaining material to freight rail companies to upgrade other rail lines in the state. The bill requires DOT to do so using the process outlined above.

Availability for Inspection

The bill requires that DOT make surplus rail material available for inspection at a designated location in a rail yard or along a siding track in the state. The bill does not specify when DOT must make the material

available.

Distribution of Material

The bill also modifies the process for distributing the surplus material to selected companies. Under current law, DOT must transfer the material to the recipient's designated material site and charge the recipient for doing so. The amount depends on whether the property will be used to upgrade a state-owned right-of-way. If it is, the charge cannot exceed the value, as scrap, of the materials replaced by those the commissioner transfers. If the transferred materials are used to upgrade non state-owned rights-of-way, the charge cannot exceed the value, as scrap, of the materials transferred.

The bill instead requires selected freight railroad companies to:

- 1. arrange and pay for handling and delivering the material from a specific location in a rail yard or along a siding track;
- 2. accept the material in "as-is" condition;
- 3. acknowledge that the commissioner assumes no responsibility for the material's quality or fitness; and
- 4. install the material in accordance with the statement of intended use that it submitted to DOT, unless the commissioner approves a different use in writing.

The bill prohibits the selected company from salvaging the surplus material and obtaining reimbursement for the handling and delivery costs but allows it to salvage any material the surplus material replaces in order to offset the costs.

Under the bill, the selected company must accept delivery of the surplus material within 30 days after receiving notice of selection. If the company does not do so, DOT may (1) select another company that sent a notice of interest or (2) salvage or otherwise dispose of the material.

§ 21 — METRO NORTH BRANCH LINE REPORTS

Requires DOT to report on the status of installing side rail on the New Canaan line and increasing direct service to New York on the Danbury line

By January 1, 2022, the bill requires DOT to report to the Transportation Committee on the status of (1) installing a side rail on the New Canaan branch line and (2) increasing direct service to New York on the Danbury branch line.

BACKGROUND

Infractions

Infractions are punishable by fines, usually set by Superior Court judges, of between \$35 and \$90, plus a \$20 or \$35 surcharge and an additional fee based on the amount of the fine. There may be other added charges depending on the type of infraction. For example, certain motor vehicle infractions trigger a Transportation Fund surcharge of 50% of the fine. With the various additional charges, the total amount due can be over \$300 but often is less than \$100.

An infraction is not a crime, and violators can pay the fine by mail without making a court appearance.

Motor Bus Certificates

State law prohibits people and entities from operating motor buses without obtaining a certificate from DOT or the Federal Highway Administration that certifies that public convenience and necessity require the operation of a bus over the route (CGS § 13b-80) (i.e., a motor bus certificate).

Those seeking a certificate must apply to DOT, and upon receiving an application, DOT must notify the following, in writing, of the pending application: (1) the mayor of each city, the warden of each borough, or the first selectman of each town in or through which the applicant desires to operate and (2) any common carrier that operates over any portion of the route, or on another route substantially parallel to it, for which the certificate is requested. The municipalities may petition the department regarding routes, fares, speed, schedules, continuity of service, and the convenience and safety of passengers and the public. If they do so, DOT may hold a hearing and provide notice to

interested parties at least one week before the hearing.

Motor bus regulations require, among other things, certificate holders to obtain permission in advance for modifying routes or schedules (CT Public Utilities Commission docket 8500 (Feb 27, 1952)).

Related Case — Motor Bus Certificates

In 2019, as part of ongoing litigation regarding the rights of motor bus certificate holders over certain bus routes, the Superior Court issued a decision holding that the General Statutes require all motor bus operators to have certificates, including those who operate routes under contract with the state. The court stated that, concerning CGS §§ 13b-34 and 13b-80, "[n]either statute exempts any person from the certificate requirement (*DATTCO*, *Inc. v. DOT*, 2019 WL 1386346 (Feb. 11, 2019)).

Alternative Delivery Methods

By law, the DOT commissioner may designate certain projects to be built using alternatives to the traditional "design-bid-build" construction process, specifically, the "construction manager at risk" (CMAR) with a guaranteed maximum price and "design-build" processes.

"Design-bid-build," "construction-manager-at-risk," and "design-build" use different approaches to design and build construction projects. The methods differ chiefly in how they assign responsibility for design and construction services, as follows:

- 1. In design-bid-build, the most traditional method, the owner has separate contracts with the designer and the builder, and the project design is completed before bids are solicited for a construction contract.
- 2. In CMAR, the owner generally contracts with a single construction manager, who works with the designer and then provides labor, materials, and project management during construction. The CMAR method typically guarantees the maximum cost of the work.

3. In the design-build approach, the owner contracts with a single entity that both designs and builds the project.

Manual on Uniform Traffic Control Devices (MUTCD)

The MUTCD is a handbook published by the Federal Highway Administration that specifies standards and guidance for the design, installation, and use of traffic control devices (e.g., signs, traffic signals, and road markings). Federal regulations make the MUTCD the national standard for all traffic control devices installed on any street, highway, or bicycle trail open for public travel. The regulations also require state regulations and manuals on traffic control devices to substantially conform to the MUTCD and give states two years to adopt changes to the MUTCD (23 C.F.R. § 655.603).

Connecticut has incorporated the MUTCD into its traffic control device regulations by reference (e.g., Conn. Agencies Regs. § 14-298-500).

Tourist Attraction Guide Sign Program for Limited Access Highways

DOT currently administers a program that allows qualifying attractions to be included on an "attractions" sign near highway exits. Qualifying attractions are those (1) with a primary purpose of satisfying the needs of visitors from outside the immediate area for recreational, educational, scientific, environmental, natural, cultural, historical, or entertainment activities and (2) meeting other designated criteria (e.g., operating hours). This program is administered separately from the Specific Information Signs program.

COMMITTEE ACTION

Transportation Committee

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Joint Favorable Substitute
Yea 33 Nay 2 (03/24/2021)
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